

03-14-01

CPA (1515) #

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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:
[] DUPLICATE

MAR 13 2001

Address to:

Commissioner for Patents
Box CPA
Washington, D.C. 20231

Attorney Docket No. of Prior Application	7247M
First Named Inventor	DOUGLAS J. DOBROZSI
Examiner Name	A. PULLIAM
Group/Art Unit	1615 Confirmation No.: 5652
Express Mail Label No.	EK823211013US

This is a request for a [+] continuation or [] divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/361,542, filed on July 27, 1999, entitled ORAL LIQUID MUCOADHESIVE COMPOSITIONS.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. §154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of the CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

- [] Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
- [+] A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4)
 - [] **DELETE** the following inventor(s) named in the prior nonprovisional application:

 - [] The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- [] A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information disclosure Statement (IDS) is enclosed.
 - [] PTO-1449
 - [] Copies of IDS Citations

03/16/2001 GTEFFERA 00000053 162480 093152
01 FC:131 710.00 CH

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16 (c) or (i))		14 - 20*	0	x \$18.00 =	\$
INDEPENDENT CLAIMS (37 CFR 1.16 (b) or (i))		2 - 3**	0	x \$78.00 =	\$
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$260.00 =	\$
				BASIC FEE (37 CFR 1.16)	\$710.00
Total of above Calculations =					\$
Reduction by 50% for filing by small entity (Note 37 CFR §§ 1.9, 1.27, 1.28).					
* Reissue claims in excess of 20 and over original patent.					
** Reissue independent claims over original patent.					
TOTAL =					\$710.00

6. Small entity status:

- a. ☐ A small entity statement is enclosed, if (b) and (c) do not apply.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2480.

- a. ☒ Fees required under 37 CFR § 1.16.
- b. ☒ Fees required under 37 CFR § 1.17.
- c. ☒ Fees required under 37 CFR § 1.18.

8. ☐ A check in the amount of \$_____ is enclosed.9. ☐ New Attorney Docket Number, if desired _____

(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)

10. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)

- b.
- ☐
- Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

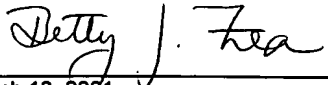
11. ☐ Other: The Commissioner is hereby petitioned under 37 CFR §1.136(a) to grant any extension of time needed for timely response to the Office Action dated in the parent of the above-identified continuation application to preserve pendency of said parent application. The processing fee under 37 CFR §1.17 has been determined as follows: \$ for a -month extension of time.

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

12. NEW CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> New correspondence address below	
NAME					
ADDRESS					
CITY	STATE		ZIP CODE		
COUNTRY	TELEPHONE		FAX		

13. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED


NAME (Print/Type)	Betty J. Z a	Reg. No. 36,069
SIGNATURE		
DATE	March 13, 2001	

"Express Mail" mailing label number EK8232110132US

Date of Deposit March 13, 2001

I hereby certify that this paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Andrea L. Winslow
Person mailing application


Signature of Person mailing application